

R.K BHALLA & ASSOCIATES
COMPANY SECRETARIES

90, Model Colony, Yamuna Nagar-135001(Haryana)
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Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015 for the half year ended on March, 2018

This is to certify that the Company The Yamuna Syndicate Limited and its Registrar & Share Transfer Agent M/s. Alankit Assignments Limited, Alankit House, 4E/2, Jhandewalan Extension New Delhi-110055, have complied with Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with the Company for half-year from 01st October, 2017 to 31st March, 2018 and it is confirmed on the basis of Share Transfer Forms, Register of Members, Share Transfer Register and other documents maintained by the Company and examined by us that :

- a) That they have delivered all certificate of equity shares issued after registration of transfer within fifteen days from the date of lodgment of transfer excepting those rejected on technical grounds;
- b) That there were six cases containing 450 number of equity shares for issue of duplicate share certificate;
- c) That there was no any case for sub-division, consolidation, renewal, exchange of certificate, endorsement for payment of call/allotment money;
- d) That the Company has transferred 2688 number of equity shares of 16 shareholders in Investor Education and Protection Fund on 30.11.2017 in term of Section 124 and Section 125 of the Companies Act, 2013, Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 as amended by amendment Rules, 2017 and second amendment Rules, 2017.



For R.K.Bhalla & Associates
Company Secretaries


Rajinder Kumar Bhalla
(Proprietor)
ACS No: 10525
CP No: 7360

Place: Yamuna Nagar

Date: 05.04.2018