



The Yamuna Syndicate Limited

Regd. Office : Radaur Road, Yamuna Nagar (Haryana)

Uploaded on BSE Listing Centre/ Website : <http://listing.bseindia.com>

HO/CS/

June 29,2021

Manager-Department of Corporate Services,
BSE Limited,
Registered Office :Floor 25,
P J Towers, Dalal Street,
Mumbai- 400 001

Dear Sir/Madam

Furnishing of Information as per
SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
Scrip Code : 540980 Scrip Id : YSL

Sub: Submission of Annual Secretarial Compliance Report for the year ended March 31, 2021

1. In terms of clause 3(b) (iii) of SEBI circular no. CIR/CFD/CMDI/27/2019 dated February 08, 2019, we are submitting the Annual Secretarial Compliance Report of the Company for the year ended March 31, 2021 issued by M/s. Pramod Kothari & Co., Company Secretaries and Secretarial Auditor of the Company.
2. The Secretarial Compliance Report has also filed in XML format in compliance with Notice No. 20210331-2 dated March 31, 2021 of BSE.
3. This Report is being also placed on the website of the Company www.yamunasyndicate.com
4. Please take the same on record.

Thanking You,

Yours Faithfully,

For The Yamuna Syndicate Ltd.

(Ashish Kumar)
Company Secretary

CORPORATE IDENTITY NUMBER (CIN)
L24101HR199PLC001837

Tel : +91-1732-255475, 255479

Fax : +91-1732-251802

E-mail : ceo@yamunasyndicate.com
companysecretary@yamunasyndicate.com
cfo@yamunasyndicate.com

Secretarial Compliance Report
The Yamuna Syndicate Limited
(CIN: L24101HR1954PLC001837)
For the Year Ended 31/03/2021

We Pramod Kothari & Co. Practicing Company Secretaries have examined:

- (a) all the documents and records made available to us and explanation provided by The Yamuna Syndicate Limited (**“the listed entity”**),
- (b) the filings/ submissions made by the listed entity to the stock exchange,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31/03/2021 (**“Review Period”**) in respect of compliance with the provisions of:
 - a) the Securities and Exchange Board of India Act, 1992 (**“SEBI Act”**) and the Regulations, circulars, guidelines issued there under; and
 - b) the Securities Contracts (Regulation) Act, 1956 (**“SCRA”**), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India (**“SEBI”**);

The specific Regulations, whose provisions and the circulars/ guidelines issued there under have been examined, include: -

1. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
2. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
3. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
4. Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 Not Applicable to the company during the audit period;
5. Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 Not Applicable to the company during the audit period;
6. Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 Not Applicable to the company during the audit period;
7. Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 Not Applicable to the company during the audit period;
8. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued there under;

We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below: -

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practising Company Secretary
	→ NIL	←	

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practising Company Secretary, if any.
		→ NIL	←	

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practising Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31/03/2020	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
		→ NIL	←	

(e) Since the auditor has already been appointed, the terms of appointment of the auditor have been suitably modified to give effect to clause 6(a) and 6(b) of SEBI Circular No. CIR/CFD/MD1/114/2019 dated October 18, 2019.

For Pramod Kothari & Co.

Company Secretaries

PRAMOD
PRASAD
KOTHARI

Digitally signed
by PRAMOD
PRASAD KOTHARI

Pramod Kothari
(Proprietor)

FCS No: 7091 CP No: 11532

PEER REVIEW CERTIFICATE NO.: 852/2020

UDIN No.: **F007091C000495777**

Dated: 22/06/2021

Place: Noida